

Receipt date: 08/11/2011

Doc code: IDS

10581668 - GAIL 3751

P10/08/08a (01-10)

Doc description: Information Disclosure Statement (IDS) Filed

Approved for use through 07/31/2012. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		10581668	
	Filing Date		2006-10-12	
	First Named Inventor	Peter J. Jouzego		
	Art Unit	3751		
	Examiner Name	Timothy Lewis Maust		
	Attorney Docket Number	PC32750A		

U.S.PATENTS						
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	4966204		1990-10-30	Roy G. Pedigo	
	2	4944334		1990-07-31	Harold R. McGregor	

If you wish to add additional U.S. Patent citation information please click the Add button.

U.S.PATENT APPLICATION PUBLICATIONS						
Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	20040060265	A1	2004-04-01	Nektar Therapeutics	

If you wish to add additional U.S. Published Application citation information please click the Add button.

FOREIGN PATENT DOCUMENTS								
Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ²	Kind Code ⁴	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T ⁵
	1	WO99/19215	WO	A1	1999-04-22	Inhales Therapeutic Systems		<input type="checkbox"/>
	2	JP10180492	JP		1998-07-07	Kobe Steel LTD		<input type="checkbox"/>

Receipt date: 08/11/2011 INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		10581668	10581668 - GAU: 3751
	Filing Date		2006-10-12	
	First Named Inventor	Peter J. Jouzego		
	Art Unit	3751		
	Examiner Name	Timothy Lewis Maust		
	Attorney Docket Number	PC32750A		

	3	FR 2030525	FR	A	1970-11-02	Cellite SA		<input type="checkbox"/>
	4	WO02/44669	WO	A1	2002-06-06	PA Consulting Services Limited		<input type="checkbox"/>
	5	WO01/33176	WO	A1	2001-05-10	Pow-Derject Research Limited		<input type="checkbox"/>
	6	WO03/026965	WO	A1	2003-04-03	Meridica Limited		<input type="checkbox"/>
	7	WO03/066436	WO	A1	2003-08-14	Meridica Limited		<input type="checkbox"/>
	8	EP1354795	EP	A2	2003-10-22	Nektar Therapeutics		<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button

NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T ⁵
	1	FR 2030525 French Patent Abstract. 11-02-1970	<input type="checkbox"/>
	2	JP 10-180492 Japanese Patent Abstract. 07-07-1998	<input type="checkbox"/>
	3	Great Britain International Search Report- Application No. GB 0318437.1, January 15, 2004, 3 pages.	<input type="checkbox"/>

Receipt date: 08/11/2011 INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		10581668	10581668 - GAU: 3751
	Filing Date		2006-10-12	
	First Named Inventor	Peter J. Jouzego		
	Art Unit	3751		
	Examiner Name	Timothy Lewis Maust		
	Attorney Docket Number	PC32750A		

	4	PCT/GB04/003380 - Publication Number WO 05/014397, PCT International Search Report; dated November 9, 2004; 4 pages.	<input type="checkbox"/>
--	---	--	--------------------------

If you wish to add additional non-patent literature document citation information please click the Add button

EXAMINER SIGNATURE

Examiner Signature	/Timothy L. Maust/	Date Considered	01/25/2012
--------------------	--------------------	-----------------	------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.

Receipt date: 08/11/2011 INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		10581668	10581668 - GAU: 3751
	Filing Date		2006-10-12	
	First Named Inventor	Peter J. Jouzego		
	Art Unit	3751		
	Examiner Name	Timothy Lewis Maust		
	Attorney Docket Number	PC32750A		

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- ☒ See attached certification statement.
- ☐ The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☐ A certification statement is not submitted herewith.

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Richard V. Zanzalari/	Date (YYYY-MM-DD)	2011-08-11
Name/Print	Richard V. Zanzalari	Registration Number	49032

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**